Encounter Data System User Group

August 30, 2012

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Agenda

- Introduction

- Session Guidelines

- CMS Updates

- EDPS Updates
  - Incident Tracking and Known Issues
  - EDPS Reports
  - 2011 Dates of Service Solutions
  - EDS Implementation Next Steps

- Questions and Responses

- Closing Remarks

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Introduction

The purpose of this session is to provide Medicare Advantage Organizations (MAOs) and other entities with information on policy and operational guidance on testing and submitting production data to the Encounter Data System (EDS)

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MAOs and Other Entities

- CMS requires the following types of organizations to submit encounter data:
    - Medicare Advantage (MA) Plans
    - Medicare Advantage-Prescription Drug (MA-PD) Plans
    - Health Maintenance Organizations (HMOs)
    - Special Needs Plans (SNPs)
    - Local Preferred Provider Organizations (PPOs)
    - Regional PPOs
    - Employer Group Health Plans
    - Programs of All-Inclusive Care for the Elderly (PACE) Plans
    - Cost Plans (1876 Cost HMOs/CMPs and 1833 HCPPs)
    - Medical Savings Account (MSA)
    - Private Fee-for-Service Plans (PFFS)
    - Religious Fraternal Benefit Plans (RFBs)
    - Provider Sponsored Organizations (PSO)

Session Guidelines

- This is a one (1) hour Encounter Data User Group for MAOs and other entities

- If time allows, we will respond to questions

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CMS Updates

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End-to-End Certification Status

Certification Status as of 08/28/2012*

219 Total Submitters/ 586 MAOs and Other Entities	Professional	Institutional	DME
Number of Submitters Certified	193	72	29
Certified MAOs and Other Entities Represented	547	328	123

*These figures do not include PACE Plans

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End-to-End Testing / Certification Timeline

	Testing Begins	Testing Ends/Deadline for Certification
Professional Encounters	**1/4/2012**	**5/31/2012**
Institutional Encounters	**4/30/2012**	**8/31/2012**
DME Encounters	**6/15/2012**	**8/31/2012**

- CMS will not begin compliance measures without advance notice; however, the End-to-End Testing/Certification timeline has not changed

- MAOs and other entities that have not completed end-to-end testing should do so immediately

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Production Submission Status

Production Submission Status:

May 1, 2012 – August 28, 2012*

PROFESSIONAL ENCOUNTER DATA SUBMISSION	
Total Encounters Processed	**3,917,712**
Total Encounters Accepted	**3,766,707**
Percentage Accepted	**96%**
Total Encounters Rejected	**151,005**
Percentage Rejected	**4%**

*These figures do not include PACE Plans

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System Volume/ Stress Testing Updates

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System Volume/Stress Testing

- Professional files were collected to perform system volume/stress testing on the EDS, which included 197,635 encounters

- Encounters in these files passed CEM level editing and were sent to the EDPS on August 15, 2012

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System Volume/Stress Testing

- The files were processed by the EDPS as follows:

	Total Encounters	Encounters Accepted	Encounters Rejected
File 1	44,355	43,212 (97%)	1,143 (3%)
File 2	53,933	50,535 (94%)	3,398 (6%)
File 3	54,616	51,986 (95%)	2,630 (5%)
File 4	44,731	43,126 (96%)	1,605 (4%)
TOTALS	**197,635**	**188,859 (96%)**	**8,776 (4%)**

- In the near future, CMS will notify MAOs and other entities of the findings regarding edits received on these encounters

EDS
Incident Tracking and Known Issues

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Incident Tracking Tool

- As of August 30, 2012, 295 incident inquiries have been received

# of Incidents		
Resolved	262 (88%)	
Pending	33 (12%)	28 of the 33 incidents are related to known issues and are being investigated or resolved

- From August 21, 2012 through August 30, 2012, twelve (12) known issues were finalized, resulting in the resolution of 39 incidents

- MAOs and other entities are encouraged to submit incident reports when edits are received on MAO-002 reports

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EDPS Reports Updates

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EDPS Reports Updates

- Effective September 4, 2012, the EDPS reports generated and distributed to MAOs and other entities will contain enhancements to more effectively interpret the status of encounters submitted to the EDS

  - MAO-001 Encounter Data Duplicates Report (new report)

  - MAO-002 Encounter Data Processing Status Report

- CMS will provide flat file and formatted report samples in order for MAOs and other entities to test their internal processing systems for accurate receipt and reconciliation

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EDPS Reports Updates

- Four (4) sample EDPS reports will be provided for MAOs and other entities to test their parsing logic to assist in retrieval of the MAO-001 Duplicates Report and enhanced MAO-002 Processing Status Report

- The sample reports include:
  – MAO-001 Encounter Data Duplicates Report – Flat File
  – MAO-001 Encounter Data Duplicates Report – Formatted
  – MAO-002 Encounter Processing Status Report (enhanced)– Flat File
  – MAO-002 Encounter Processing Status Report (enhanced)– Formatted

2011 Dates of Service Solution

2011 DOS Solutions

- CMS will implement an enhancement that will allow MAOs and other entities to submit encounters with "*from*" dates of service (DOS) for 2011 and *"through"* DOS for 2012

  – All Professional, Institutional, and DME
    - Exclude Home Health, SNF, and Swing Bed encounters until further guidance is provided

  – Interim Bills with final bill through DOS for 2012

- If 2011 through DOS lines are submitted, a line level rejection will be reflected on the MAO-002 report

- The EDPS will only process 2012 through DOS encounter lines

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2011 DOS Solutions

- MAOs and other entities must **not** submit encounters with "from" **and** "through" 2011 DOS

- CMS will notify MAOs and other entities when the enhancements are in place and implemented for the 2011 DOS solution

Technical Assistance Materials and Companion Guides

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TA Materials & Companion Guides

- 2012 Regional Technical Assistance Participant Guides and Slide Presentations will be published on the CSSC Operations website at http://www.csscoperations.com/internet/cssc3.nsf/docsCatHome/CSSC%20Operations

- Professional, Institutional, and DME Companion Guides will be published on the CSSC Operations website at http://www.csscoperations.com/internet/cssc3.nsf/docsCat/CSSC~CSSC%20Operations~Encounter%20Data~Companion%20Guides?open&expand=1&navmenu=Encounter^Data||

EDS Implementation Next Steps

Next Steps

EDS Materials/Information	Targeted Date	Comments/Additional Notes
Review and Evaluation of Risk Filtering Logic and MAO-004 Encounter Data Risk Filter Report	8/2012 – 11/2012	Analysis of the 27 comments/recommendations received in response to the Risk Filtering Logic Recommendations request Additional risk filtering analysis
Distribution of Enhanced EDPS Reports	9/04/2012	MAO-001 ED Duplicates Report MAO-002 ED Processing Status Report
4th Quarter 2012 User Group Schedule	9/17/2012	Will be posted on the TARSC website

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Questions & Answers

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Resources

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Resources

- CSSC Operations: http://www.csscoperations.com/internet/cssc3.nsf/docsCatHome/CSSC%20Operations

- Encounter Data Outreach Registration: www.tarsc.info

- CMS: www.cms.gov

- EDS Inbox: eds@ardx.net

- X12 Version 5010 Standards:
https://www.cms.gov/Regulations-and-Guidance/HIPAA-Administrative-Simplification/Versions5010andD0/index.html?redirect=/Versions5010andD0/

- CEM/CEDI Technical Reporting Formats:
http://www.cms.gov/MFFS5010D0/20_TechnicalDocumentation.asp

- Washington Publishing Company:
http://www.wpc-edi.com/content/view/817/1

September 2012

– Please refer to www.tarsc.info for the 2012 – 2013 User Group schedule

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Closing Remarks

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